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02/27/02

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Atty. Docket: DPL / 19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Title:

Jack S. Oh

CLOSURE AND CONTAINER WITH ANTI-BACKOFF CAPABILITY

10/083001 02/26/02

CERTIFICATE OF MAILING BY EXPRESS MAIL - 37 CFR 1.10

Express Mail' mailing label number: EV048700421US
Date of Deposit: February 26, 2002

I certify that this paper or fee (along with the enclosures noted herein) is being deposited with the United States Postal Service 'Express Mail Post Office to Addressee' service under 37 CFR 1.10 on the above date and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Dale Mitchell (person mailing paper)

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- **☒** Original (non-provisional) application.
- ☐ Divisional of prior application Serial No. __, filed on __.
- ☐ Continuation of prior application Serial No. ___, filed on ___
- ☐ Continuation-in-part of prior application Serial No. ___, filed on ___.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
- □ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. ____ total claim(s), of which ___ is(are) independent, is(are) pending after the amendment.
- **☒** Small Entity Status is Requested
- **☒** The Fees are Calculated as Follows:

Fee:	Number of Claims:	In Excess of:	Extra:	At Rate:	Amount:
Total Claims	. 30	20	10	\$18	\$180.00
Independent Claims	4	3	1	\$84	\$84.00
MULTIPLE DEPENDEN	NT CLAIM FEE				
BASIC FEE					\$740.00
TOTAL OF ABOVE CALCULATIONS					\$1,004.00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					\$502.00
TOTAL					\$502.00

ENCLOSURES

- ☑ Utility Patent Application Transmittal Form (in duplicate) containing Certificate of Mailing By Express Mail Under 37 CFR 1.10.
- **⊠** Return Postcard.

APPLICATION PAPERS

- ☑ Utility Patent Application, with: cover sheet, 21 page(s) specification (including 30 total claim(s), of which 4 is(are) independent), and 1 page(s) abstract.
- Drawings: 4 sheet(s) of formal drawings (11 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- □ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- An <u>Executed</u> Declaration, Power of Attorney and Petition Form.
- □ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☐ Assignment to ___, Recordation Cover Sheet (Form PTO-1595)
- □ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement, __ sheet(s) Form PTO-1449, __ U.S. Patent Reference(s), __ Foreign Patent Reference(s) and __ Other Reference(s)
- □ Other: __

CHECKS

- A Check of <u>\$502.00</u> for the filing fee.
- ☐ A Check of __ for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- □ Please charge Deposit Account No. <u>23-3000</u> in the amount of __.
- The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

WOOD, HERRON & EVANS, L.L.P. 2700 Carew Tower 441 Vine St. Cincinnati, Ohio 45202 (513) 241-2324

KRH/llt

Respectfully Submitted,

Keith R. Haupt Reg. No. 37,638